



高朋律師事務所  
GAOPENG & PARTNERS



## Lu Jiefeng

Partner

📍 Shanghai

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### Areas of practices

Civil and Commercial Dispute Resolution, Securities and Capital Market,

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### Work experience

Mr. Lu entered the securities industry in 2007 to engage in securities legal compliance and has many years of experience as a corporate lawyer. Prior to joining Gao Peng, Mr. Lu worked at Shenwan Hongyuan Securities Co., Ltd. and Jingtian & Gongcheng Law Firm as a manager and partner of the legal affairs department, respectively. Mr. Lu has many years of practice experience, handling a number of complex securities transaction cases and financial cases, and has participated in the merger and restructuring project of the largest securities company in the capital market to date. Mr. Lu led the legal team to be awarded ALB (Asian Legal Business Journal) 2014 Top 15 Corporate Legal Counsel in China.

Mr. Lu specializes in dispute resolution, securities compliance and securities litigation. Mr. Lu is familiar with financial and capital market business, and has rich experience in handling litigation cases, and is good at handling financial litigation and commercial litigation cases such as asset management product disputes, stock pledge and repurchase financing disputes, securities trading disputes, bond disputes, investment and financing disputes, and corporate disputes. A graded fund transaction dispute case represented by Mr. Lu was selected as one of the top ten financial and commercial trials in Shanghai courts in 2017. Mr. Lu also has extensive experience in securities compliance and securities litigation, and is good at advising securities compliance clients such as securities companies, listed companies and their shareholders, and representing clients in civil compensation litigation for securities infringement such as securities misrepresentation brought by investors.

Mr. Lu's research projects have won the Outstanding Project Award of the Securities Association of China, and he has published professional articles on the subject of bond disputes in China Securities.

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## Educational background

Master of Laws, Fudan University

M.A. in Comparative Law, Emory University, USA

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## Work experience

Mr. Lu joined Gaopeng in 2022. Prior to joining Gao Peng, Mr. Lu worked at Shenwan Hongyuan Securities Co., Ltd. and Jingtian & Gongcheng Law Firm as a manager and partner of the legal affairs department, respectively.

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## Representative cases

- 代理某证券公司与某银行定向资管产品纠纷案
  - 代理某证券公司分级基金交易纠纷案
  - 代理某证券公司融资融券交易纠纷案
  - 代理某证券公司股票质押回购融资纠纷案
  - 代理某信托公司与某房地产公司等金融借款合同纠纷案
  - 代理某证券公司与某银行资产管理合同纠纷仲裁案
  - 代理某券商系创新投公司与相对方股份回购纠纷仲裁案
  - 代理某券商系创新投公司与相对方财务顾问服务合同纠纷案
  - 代理某合伙企业与相对方合伙协议纠纷仲裁案
  - 代表某公司应对破产企业之债权人发起的确认合同无效之诉（破产衍生诉讼）
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## Awards

He led the legal team to be awarded ALB (Asian Legal Business) 2014 Top 15 Corporate Legal Teams in China

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## Working language

- Chinese
- English